

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application : Maria Palasis

Group Art Unit : 3763

Application No. : 09/760,807

Examiner : Loan H. Thanh

Filed : January 17, 2001

Docket No. : 12013/58101

For : THERAPEUTIC DELIVERY BALLOON

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO/SB/08a. Unless otherwise indicated herein, one copy of each foreign patent documents and/or non-patent literature documents are attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The filing of this Information Disclosure Statement and the enclosed PTO/SB/08a, shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b). It is believed that no fees other than those indicated below are due, but authorization is hereby given to charge any additional fees due, or to credit any overpayment, to deposit account 11-0600.

This Information Disclosure Statement is being filed (a) within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. §1.53(d), (b) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, (c) before the mailing date of a first Office Action on the merits in the present application, OR (d) before the mailing of a first office action after filing of a request for continued examination. No certification or fee is required.

Since the referenced application was filed prior to June 30, 2003, copies of the U.S. references are included.

Respectfully submitted,

Registration No. 43,644

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Substitute for form 1449A/PTO

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Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

	Complete if Known		
Application Number	09/760,807		
Filing Date	January 17, 2001		
First Named Inventor	Maria Palasis		
Art Unit	3763		
Examiner Name	Loan H. Thanh		
Attorney Docket Number	12013/58101		

			U.S. PATENT	OCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant
Initials *	one becamen	Passages or Relevant Figures Appear			
	1	US-5,980,531	11-09-1999	Goodin et al.	
	2	US- 6,048,332	04-11-2000	Duffy et al	
-	3	US- 6,786,889 B1	09-07-2004	Musbach et al.	
	4	US- 6,129,706	10-10-2000	Janacek	
	5	US - 6,136,011	10-24-2000	Stambaugh	

		F	OREIGN PATEN	T DOCUMENTS	<u> </u>			
Everniner	Cite	Foreign Patent Document		Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant			
Examiner Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear	T⁵		

Examiner Signature	Date Considered	

	-	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2

Examiner	Date	
Signature	Considered	
Signature	00110100100	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the high chief. case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.